

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Koji SATO, et al.
Application No. 08/750,641
Filing Date : 16 December 1996
Title : SCHEDULE SETTING AND PROCESSING SYSTEM
Art Unit : Office of Petitions
Examiner : L. Walsh

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**DECLARATION OF
SHAWN W. O'DOWD**

I, the undersigned, Shawn W. O'Dowd, make this declaration in support of the Renewed Petition for Revival of an Application for Patent Abandoned Unintentionally Under 37 CFR § 1.137(b) ("Renewed Petition") for the above identified application.

1. Since about June 12, 1989, I have served as an employee and a partner of Kenyon & Kenyon LLP ("Kenyon") in Kenyon's Washington, DC and San Jose offices.

2. During my employment and tenure as a partner at Kenyon, I had numerous responsibilities, including prosecuting patent applications for Toyota Jidosha Kabushiki Kaisha ("Toyota"), the assignee of U.S. Patent Application Serial No. 08/750,641 ("641").

3. On or about July of 2007, I was docketed as a supervising partner for the '641 application. I was docketed as a supervising partner because of the retirement of a prior supervising attorney/partner, John C. Altmiller.

4. Prior to July of 2007, I had prosecuted and/or supervised the prosecution of numerous other Toyota prosecution matters.

5. On August 8, 2008, I filed a petition under 37 CFR 1.137(b) (the "Original Petition"), indicating that any delay in paying the issue fee in '641 was unintentional.

6. The USPTO mailed a Dismissal relating to the Original Petition on September 10, 2008.

7. I had several questions regarding the Dismissal, and attempted to contact Examiner Walsh regarding the same; however, Examiner Walsh was away from her usual examining functions for much of September and October (e.g., for extended training). On October 24, 2008, I was able to discuss the petition with Examiner Walsh.

8. My primary concern was the Examiner's many requirements relating to "all persons . . . having firsthand knowledge of the circumstances surrounding the protracted delay," such as the requirement for statements and "[c]opies of any correspondence relating to the filing, or to not filing a further reply to the outstanding Office action." (See Dismissal at 2-3.) After the discussion with Examiner Walsh on October 24, 2008 involving the facts and witnesses available to us at this time, Examiner Walsh indicated that especially in light of the fact that only the payment of an issue fee was at issue in this case, it would not be necessary at this time to go to extraordinary measures to get statements from all persons having firsthand knowledge of the circumstances surrounding the delay.

9. Nevertheless, I, as well as associates at Kenyon, have made extensive efforts in the preparation of the Renewed Petition. We have intensely examined the paper file wrapper of the '641 application to determine the identity of the various persons at Kenyon who worked on the prosecution of '641 application and to compile the many efforts by these Kenyon attorneys and staff to further prosecution of the '641 application. This investigation included contacting several former Kenyon employees, including, *inter alia*, an attorney who was no longer engaged in the active practice of patent law, and an attorney who worked for a law firm in Tokyo, Japan. Through contacting these former Kenyon employees, Applicants are able to provide further detail regarding the events which ultimately resulted in the delay in this case.

10. In October and November of 2008, I contacted Mark Neblett regarding '641. He had no specific information or knowledge relating to '641, the abandonment thereof, and the discovery of the same. However, as he could give some insight as to the circumstances surrounding the filing of the File Reconstruction request of March 23, 2001, Mr. Neblett has submitted a declaration in support of the Renewed Petition.

11. In October of 2008, I contacted John Altmiller, a Kenyon partner listed on the '641 declaration, regarding '641. He had no specific information or knowledge relating to '641, the abandonment thereof, and the discovery of the same. However, as he was a supervising attorney for the case until his retirement in or about March of 2007, Mr. Altmiller has submitted a declaration in support of the Renewed Petition.

12. In November of 2008, I contacted Sterlon Mason, a former prosecuting associate who resigned from Kenyon on or about July 21, 2000, regarding '641. He had no specific information or knowledge relating to '641, the abandonment thereof, and the discovery of the same.

13. In November of 2008, I contacted Frank Pietrantonio, a partner who resigned from Kenyon on or about May 1, 2000, regarding '641. He had no specific information or knowledge relating to '641, the abandonment thereof, and the discovery of the same. While his name was listed on the '641 declaration, Frank Pietrantonio indicated to me that he was not substantively involved with any Toyota prosecutions.

14. In November of 2008, I contacted Japanese counsel for Toyota regarding '641. Japanese counsel for Toyota reviewed their file history for '641 and confirmed that Toyota has always been desirous of obtaining a patent related to '641, and that no instructions had been received or given to cease prosecution of '641.

15. I have spoken with Daniel Shanley, a current Kenyon associate regarding '641. Prior to July 10, 2008, he had no specific information or knowledge relating to '641, the abandonment thereof, and the discovery of the same. Mr. Shanley has submitted a declaration in support of the Renewed Petition.

16. I have spoken with Ingrid Hodge, a current Kenyon employee regarding '641. Prior to July 10, 2008, she had no specific information or knowledge relating to '641, the abandonment thereof, and the discovery of the same. Ms. Hodge has submitted a declaration in support of the Renewed Petition.

17. I have spoken with Judith Russo, a current Kenyon employee regarding '641. Prior to July 10, 2008, she had no specific information or knowledge relating to '641, the

abandonment thereof, and the discovery of the same. Ms. Russo has submitted a declaration in support of the Renewed Petition.

18. Based on my review of a copy of USPTO's filewrapper for '641, the USPTO mailed an Advisory Action regarding '641 to Kenyon on February 29, 2000. The address on the cover page of the Advisory Action listed Kenyon's address as "1025 CONNECTICUT AVENUE NW." (Exh. 1.)

19. On February 29, 2000, the offices of Kenyon were no longer located at "1025 CONNECTICUT AVENUE NW" in Washington, DC. Less than one year prior to February 29, 2000, in March of 1999, Kenyon had moved its offices to 1500 K Street, NW, also in Washington DC.

20. As evidenced by an entry into Kenyon's computerized docketing system, the Advisory Action of February 29, 2000 was received by Kenyon. (Exh. 2.)

21. In response to the Advisory Action, Applicants filed a Continued Prosecution Application (CPA) with the USPTO on March 15, 2000, along with a fee of \$800. (Exh. 3.) The mailing of the CPA was entered into Kenyon's computerized docketing system. (Exh. 2.)

22. The Transmittal Form for the CPA filed March 15, 2000 listed "1500 K Street, N.W." as the address of Kenyon. (Exh. 3.)

23. Based on my review of a copy of Kenyon's filewrapper for '641, on March 29, 2000, Applicants filed a Preliminary Amendment with the USPTO via facsimile. (Exh. 4.) The Preliminary Amendment faxed to the USPTO on March 29, 2000 listed "1500 K Street, N.W." as the address of Kenyon. (Exh. 4.)

24. Based on my review of a copy of USPTO's filewrapper for '641, on April 17, 2000, the USPTO mailed a Notice of Allowability to Kenyon at "1025 CONNECTICUT AVENUE NW" in Washington, DC. The Notice of Allowability was "responsive to amendment filed 3/29/00." (Exh. 5.)

25. Prior to April 17, 2000, staff at Kenyon had been instructed to change the correspondence addresses of the many cases then prosecuted by Kenyon's Washington, DC office. However, by April 17, 2000, all cases had not yet been processed, including '641.

26. Based on my review of the docket report for '641 (Exh. 2), Kenyon did not receive the Notice of Allowability.

27. Based on my review of a copy of USPTO's filewrapper for '641, a copy of the "ISSUE FEE TRANSMITTAL" form is contained within the USPTO filewrapper for '641. The copy is not signed. Furthermore, the copy is stamped "MATCH & RETURN" and "RECEIVED APR 17 2000 TC 3600 MAILROOM." (Exh. 6.)

28. The Notice of Allowability indicates that an issue fee of \$1210 was due on July 17, 2000. (Exh. 5.)

29. Based on my review of the file wrapper and docket report for '641 (Exh. 2), there is no evidence that Kenyon paid or attempted to pay a \$1210 issue fee for '641.

30. Based on my review of a copy of USPTO's filewrapper for '641, on September 13, 2000, the USPTO mailed a Notice of Abandonment to Kenyon at "1025 CONNECTICUT AVENUE NW" in Washington, DC. The Notice of Abandonment was stamped with a message "ABANDONMENT CONTACT PERSON IS: TOM HAWKINS 305-8380." (Exh. 7.)

31. To my knowledge, there is no evidence in Kenyon files, nor in the '641 USPTO file wrapper that Tom Hawkins, or anyone else at the USPTO, attempted to contact Kenyon via telephone prior to mailing the Notice of Abandonment.

32. Based on my review of the docket report for '641 (Exh. 2), Kenyon did not receive the Notice of Abandonment.

33. Based on my review of a copy of Kenyon's filewrapper for '641, on October 2, 2000, John C. Altmiller (Reg. No. 25,951) of Kenyon signed a Change of Correspondence Address changing the correspondence address of '641 to that of customer number 23838. (Exh. 8.)

34. Based on my review of a copy of USPTO's filewrapper for '641, the Change of Correspondence Address was stamped as received by OIPE on October 3, 2000, as received by Technology Center 2800 on October 4, 2000, and as received by Technology Center 2700 on October 6, 2000. There is no other evidence in the '641 USPTO filewrapper that the Change of Correspondence Address signed October 2, 2000 was considered by the USPTO. (Exh. 9.)

35. Based on my review of a copy of Kenyon's filewrapper for '641, on October 30, 2000, Robert Hails (Reg. No. 39,702) of Kenyon transmitted by facsimile a second Change of Correspondence Address to Examiner Olga Hernandez. The second Change of Correspondence Address form indicated that future communications should be sent to John C. Altmiller of Kenyon at 1500 K Street, N.W. in Washington, DC. (Exh. 10.)

36. Based on my review of a copy of the USPTO's filewrapper for '641, the second Change of Correspondence Address was stamped as received by Group 3600 on October 30, 2000. This paper is marked as paper #19, initialed by DRS, and dated June 12 of an unknown year (the retrieved copy reads "6-12-0" – the final number appears to have been cut-off upon copying). (Exh. 11.)

37. Based on my review of a copy of Kenyon's filewrapper for '641, an Associate Power of Attorney appointing "John C. Altmiller (Reg. No. 25,951) and other registered practitioners of Kenyon included in [Customer Number 23858]" was stamped as received by the USPTO on December 11, 2000. The paper, which had Kenyon's New York office address at the bottom of the page, is dated November 6, 2000, and is signed by Edward W. Greason (Reg. No. 18,918). (Exh. 12.)

38. Based on my review of the '641 USPTO filewrapper, there is no evidence that the Associate Power of Attorney was considered by the USPTO.

39. Based on my review of a copy of Kenyon's filewrapper for '641, on January 12, 2001, Cathy Ryan at Kenyon learned from a "[c]onversation w/ group receptionist" that the USPTO file for '641 was reported lost on November 27, 2000, the last known destination for the file being "the file repository." Cathy Ryan also left a message with Examiner O. Hernandez at

10:45 AM. The Examiner called back at 2:45 "to verify that file is in Depository." A note written next to the record of the 10:45 message indicates "Power to Inspect." (Exh. 13.)

40. Based on my review of a copy of Kenyon's filewrapper for '641, a "POWER TO INSPECT AND MAKE COPIES" was signed by John C. Altmiller on February 7, 2001. A hand written note on the top of the document indicates "LOST 1/16/01." A sticky note signed by "Cathy" attached to the document indicates "Denise/Jay to go over 2/8/01." (Exh. 14.)

41. Based on my review of a copy of Kenyon's filewrapper for '641, a File Reconstruction request was stamped as received by "Licensing & Review" on March 23, 2001. The paper indicates:

We have been informed by the Office that the U.S. Patent and Trademark Office's file for [08/750,641] has been lost. Please find attached a copy of the relevant documents forming the file history for this application from the applicant's files for use in reconstructing the Office's file.

Please reconstruct the file. Thank you for your attention to this matter. Please contact [Mark H. Neblett (Reg. No. 42,028)], for any questions.

The paper was signed by Mark H. Neblett. The postcard is dated March 22, 2001. (Exh. 15.)

42. Based on my review of a copy of USPTO's filewrapper for '641, there is no evidence that the File Reconstruction request was considered by the USPTO.

43. Based on my review of Kenyon's docketing records, on July 15, 2002, an entry was made into the electronic docketing system at Kenyon indicating "PTO lost file-awaiting OA." (Exh. 2.)

44. According to the Human Resources department of Kenyon, on July 31, 2003, Mark H. Neblett resigned from employment at Kenyon.

45. Based on my review of a copy of Kenyon's filewrapper for '641, a paper entitled "STATUS INQUIRY" signed by John C. Altmiller was filed with the USPTO. The paper, which is dated September 8, 2003, "respectfully request[s] that the Examiner inform Applicants of the status of the application." The paper further indicates that "The U.S. Patent and Trademark Office notified Applicants that the subject file had been lost and, accordingly, Applicants filed a copy of the relevant documents forming the file history in a File Reconstruction on March 23,

2001." The paper also authorized the Commissioner to "charge any fee relevant to this filing to Kenyon & Kenyon Deposit Account No. 11-0600." The paper has Kenyon's 1500 K Street, N.W. address at the bottom of the page. (Exh. 16.)

46. A stamped postcard confirming the receipt of the Status Inquiry of September 8, 2003 is also present in Kenyon's filewrapper for '641. (Exh. 17.)

47. Based on my review of a copy of USPTO's filewrapper for '641, there is no evidence the Status Inquiry of September 8, 2003 was considered by the USPTO.

48. Based on my review of a copy of Kenyon's filewrapper for '641, according to a postcard, an Information Disclosure Statement and accompanying papers was stamped as received by the USPTO (OIPE) on November 18, 2003. (Exh. 18.)

49. Based on my review of a copy of the USPTO's filewrapper for '641, an Information Disclosure Statement (IDS) signed by William E. Curry (Reg. No. 43,572) and dated November 18, 2003 was filed by Kenyon. The IDS lists Kenyon's 1500 K Street, N.W. address. The IDS is stamped as being received by Group 3600 on November 24, 2003. According to the IDS, as well as the cover of the '641 USPTO filewrapper, the IDS was designated as Paper #20. The IDS indicates that the designation of "#20" was given on February 13, 2004 ("2/13/4"). (Exh. 19.)

50. Based on my review of a copy of Kenyon's filewrapper for '641, according to a postcard, an Information Disclosure Statement and accompanying papers was stamped as received by the USPTO (OIPE) on September 9, 2004. (Exh. 20.)

51. Based on my review of a copy of the USPTO's filewrapper for '641, an Information Disclosure Statement (IDS) signed by William E. Curry (Reg. No. 43,572) and dated September 9, 2004 was filed by Kenyon. The IDS lists Kenyon's 1500 K Street, N.W. address. The IDS is stamped as being received by Group 3600 on September 15, 2004. According to the IDS, as well as the cover of the '641 USPTO filewrapper, the IDS was designated as Paper #21. (Exh. 21.)

52. Based on my review of a copy of Kenyon's filewrapper for '641, a paper entitled "REQUEST FOR STATUS" signed by William E. Curry (Reg. No. 43,572) is present in Kenyon's paper files. The paper, which is dated September 15, 2004, indicated:

A request for continued prosecution with an Information Disclosure Statement was filed in this case on 15 March 2000. A preliminary amendment was faxed to the Examiner on 29 March 2000. A change of correspondence address was filed on 30 October 2000. Thereafter, a copy of the entire file was submitted for re-construction of the lost file on 23 March 2001. A status inquiry was filed on 8 September 2003. Information Disclosure Statements were filed on 18 November 2003 and 9 September 2004, respectively.

It is respectfully requested that the undersigned [William E. Curry] be informed when an Office Action or other communication from the Patent Office may be expected in this case.

The paper has Kenyon's 1500 K Street, N.W. address at the bottom of the page. A stamped postcard confirms that the USPTO received the paper on September 15, 2004. (Exh. 22.)

53. Based on my review of a copy of USPTO's filewrapper for '641, there is no evidence that the Request for Status of September 15, 2004 was considered by the USPTO.

54. According to Kenyon's Human Resources department, in January 2007, William E. Curry's employment ended at Kenyon.

55. According to Kenyon's human resources department, on July 31, 2007, John C. Altmiller resigned from employment at Kenyon.

56. Contemporaneous with John C. Altmiller's resignation, I was docketed as the attorney responsible for '641.

57. Based on my review of a copy of USPTO's filewrapper for '641, on June 27, 2008, Japanese patent counsel for Applicants' faxed a letter to Kenyon inquiring as to the status of '641. The communication was addressed to William E. Curry.

58. Based on my review of a copy of USPTO's filewrapper for '641, on July 10, 2008, Japanese patent counsel for Applicants' faxed a reminder communication to Kenyon.

59. I did not know or even suspect that the '641 patent was abandoned until on or after July 10, 2008. To my recollection, Daniel Shanley, informed me of the abandoned status of '641, after made an investigation into the status of '641.

60. On or about July 23, 2008, I took primary responsibility for filing a petition to revive with the USPTO.

61. Based on the above information, as well as my recollection regarding the prosecution of Toyota cases, it is my conclusion that the Notice of Allowance for '641 was not received by Kenyon, but rather, was returned to the USPTO as undeliverable.

62. Based on the above information, as well as my recollection regarding the prosecution of Toyota cases, it is my conclusion that the Notice of Abandonment for '641 was similarly not received by Kenyon.

63. I do not recall of a case during my employment at Kenyon where I requested the Office to reconstruct an application file after that application had been abandoned.

64. Prior to July 10, 2008, I do not recall the Office or anyone at Kenyon advising me that '641 had been abandoned.

65. I do not recall the client or anyone at Kenyon ever requesting me to allow '641 to become abandoned.

66. To my knowledge and belief, the entire delay in filing the required reply for '641 from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional.

I declare that all of the above statements made based on my own knowledge are true and that all of the above statements that were made on information and belief are believed to be true, and further declare that these statements are made with the knowledge that knowing, willful and materially false statements, as are defined under Section 1001 of Title 18 of the United States Code, are punishable by fine, imprisonment, or both under Section 1001, and that such statements may affect the validity of any patent issuing from '641 if the application is revived.

Dated: 12/10/08

Shawn W. O'Dowd
Shawn W. O'Dowd

EXHIBIT 1 TO O'DOWD DECLARATION

08/750841

Date
Entered
or
Counted

PATENT APPLICATION

08750841

NOTED FOR LICENSING

INITIALS

Date
Received
or
Mailed

| No. | Description | Date |
|-----|-----------------------|--------------|
| 1. | Application 14 papers | FEB 14 1987 |
| 2. | IDS | 3-18-77 |
| 3. | IDS | 6-2-77 |
| 4. | IDS | 12-16-77 |
| 5. | Pre-Admitt | 6-27-77 |
| 6. | IDS | Sep. 4, 1977 |
| 7. | Info. Re. Hite | 1-17-78 |
| 8. | Re. Hite | 8806 NOC |
| 9. | Re. Hite | 8-12-78 |
| 10. | Re. Hite | 11/23/79 |
| 11. | Re. Hite | 2-23-80 |
| 12. | Re. Hite | 2-29-80 |
| 13. | Re. Hite | 3-15-80 |
| 14. | Re. Hite | 3-15-80 |
| 15. | Re. Hite | 3-15-80 |
| 16. | Re. Hite | 3-15-80 |
| 17. | Re. Hite | 3-15-80 |
| 18. | Re. Hite | 3-15-80 |
| 19. | Re. Hite | 3-15-80 |
| 20. | Re. Hite | 3-15-80 |
| 21. | Re. Hite | 3-15-80 |
| 22. | Re. Hite | 3-15-80 |
| 23. | Re. Hite | 3-15-80 |
| 24. | Re. Hite | 3-15-80 |
| 25. | Re. Hite | 3-15-80 |
| 26. | Re. Hite | 3-15-80 |
| 27. | Re. Hite | 3-15-80 |
| 28. | Re. Hite | 3-15-80 |
| 29. | Re. Hite | 3-15-80 |
| 30. | Re. Hite | 3-15-80 |
| 31. | Re. Hite | 3-15-80 |
| 32. | Re. Hite | 3-15-80 |



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. |
|-----------------|-------------|----------------------|---------------------|
| 08/750,641 | 12/10/96 | SATO | K 77792/23 |

FRANK PIETRANTONIO
KENYON & KENYON
1025 CONNECTICUT AVENUE NW
WASHINGTON DC 20036

PM82/0229.

EXAMINER

HERNANDEZ, O

ART UNIT

PAPER NUMBER

3661

DATE MAILED 02/29/00

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

PVD-REG (Rev. 8/98)

P-File Copy

| | | | |
|------------------------|--------------------------------------|------------------------------------|--|
| Advisory Action | Application No. 081750,941 | Applicant(s) Sato et al. | |
| | Examiner Olga Hernandez | Group Art Unit 3881 | |

THE PERIOD FOR RESPONSE: (check only a) or b))

a) ☒ expires 3 months from the mailing date of the final rejection.

b) ☐ expires either three months from the mailing date of the final rejection, or on the mailing date of this Advisory Action, whichever is later. In no event, however, will the statutory period for the response expire later than six months from the date of the final rejection.

Any extension of time must be obtained by filing a petition under 37 CFR 1.130(d), the proposed response and the appropriate fee. The date on which the response, the petition, and the fee have been filed is the date of the response and also the date for the purposes of determining the period of extension and the corresponding amount of the fee. Any extension fee pursuant to 37 CFR 1.17 will be calculated from the date of the originally set shortened statutory period for response or as set forth in b) above.

☐ Appellant's Brief is due two months from the date of the Notice of Appeal filed on _____ (or within any period for response set forth above, whichever is later). See 37 CFR 1.191(d) and 37 CFR 1.192(e).

Applicant's response to the final rejection, filed on Nov 23, 1999 has been considered with the following effect, but is NOT deemed to place the application in condition for allowance:

☐ The proposed amendment(s):

- ☐ will be entered upon filing of a Notice of Appeal and an Appeal Brief.
- ☐ will not be entered because:
 - ☐ they raise new issues that would require further consideration and/or search. (See note below).
 - ☐ they raise the issue of new matter. (See note below).
 - ☐ they are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal.
 - ☐ they present additional claims without cancelling a corresponding number of finally rejected claims.

NOTE: _____

☐ Applicant's response has overcome the following rejection(s): _____

☐ Newly proposed or amended claims _____ would be allowable if submitted in a separate, timely filed amendment cancelling the non-allowable claims.

☒ The affidavit, exhibit or request for reconsideration has been considered but does NOT place the application in condition for allowance because:
applicant arguments are not directly to the claimed subject matter

☐ The affidavit or exhibit will NOT be considered because it is not directed SOLELY to issues which were newly raised by the Examiner in the final rejection.

☒ For purposes of Appeal, the status of the claims is as follows (see attached written explanation, if any):

Claims allowed: _____

Claims objected to: 7-10

Claims rejected: 1-6

☐ The proposed drawing correction filed on _____ ☐ has ☐ has not been approved by the Examiner

☐ Note the attached Information Disclosure Statement(s), PTO-1449, Paper Note(s).

☐ Other _____

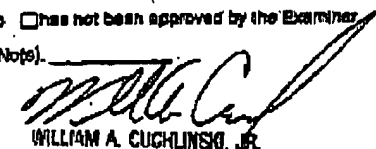

 WILLIAM A. CUCHLINSKI, JR.
 SUPERVISORY PATENT EXAMINER
 TECHNOLOGY CENTER 3800

EXHIBIT 2 TO O'DOWD DECLARATION

IP380dMail
Kenyon & Kenyon

Patent Jacket Data Sheet

S. O'DOWD
DANIEL G. SHAMLEY

P23830 USW

Client Ref

Office DC

I. SCHEUGENPFLUG

Title SCHEDULE SETTING AND PROCESSING SYSTEM

Prosecution Particulars

Prosecution Status Live - Pending
Priority Claimed 8-298289 01-Dec-1994 Japan
Parent PCT/JP95/02459 01-Dec-1995 WO
Application 08/750641 01-Dec-1995 US [PCT]
Filed on 16-Dec-1996
Publication
Allowed
Grant Fees Paid
Grant
Expiry
Agent KENYON N.Y.

Parties

Applicant/Regd. TOYOTA JIDOSHA KABUS
Inventor KIZU, Masafumi
Inventor MORITA, Makoto
Inventor SATO, Ken
Inventor YAMASHITA, Masanobu
Res#Frame 8387 / 229

Renewal Particulars

Renewal Status Pre-Grant
Annually
Next Due
Next Cost
Cost Renewal Life

| Comm Date | Communication / Action | Due Date | Last Date | Response Filed |
|-------------|----------------------------------|-------------|-------------|----------------|
| 16-Dec-1996 | 30 MONTH NATIONAL PHASE APPLIC | 16-Dec-1996 | 16-Dec-1996 | 16-Dec-1996 |
| 16-Dec-1996 | IDS Due | 16-Dec-1996 | 16-Dec-1996 | 16-Dec-1996 |
| 16-Dec-1996 | Preliminary Amendment | 16-Dec-1996 | 16-Dec-1996 | 16-Dec-1996 |
| 16-Dec-1996 | Recordal | 16-Dec-1996 | 16-Dec-1996 | 16-Dec-1996 |
| 14-Feb-1997 | NOTICE OF ACCEPTANCE OF APPLIC | | | |
| 14-Mar-1997 | Filing Receipt | | | |
| 18-Mar-1997 | IDS - suppl IDS | 18-Mar-1997 | 18-Mar-1997 | 18-Mar-1997 |
| 07-May-1997 | Recordal | 07-May-1997 | 07-May-1997 | 07-May-1997 |
| 02-Jun-1997 | IDS - suppl IDS | 02-Jun-1997 | 02-Jun-1997 | 02-Jun-1997 |
| 27-Jun-1997 | IDS - suppl IDS | 27-Jun-1997 | 27-Jun-1997 | 27-Jun-1997 |
| 04-Sep-1998 | IDS - suppl IDS | 04-Sep-1998 | 04-Sep-1998 | 04-Sep-1998 |
| 17-Mar-1999 | IDS - suppl IDS | 17-Mar-1999 | 17-Mar-1999 | 17-Mar-1999 |
| 12-Oct-1999 | Ext of Time Requested | 12-Oct-1999 | 12-Oct-1999 | 12-Oct-1999 |
| 09-Jun-1999 | Respond to Official Action | 09-Nov-1999 | 09-Nov-1999 | 12-Oct-1999 |
| 23-Nov-1999 | R116 Response to Final Due | 23-Jan-2000 | 23-Jan-2000 | 23-Feb-2000 |
| 23-Nov-1999 | Notice of Appeal Due | 23-Feb-2000 | 23-May-2000 | 15-Mar-2000 |
| | CPA Appl'n. Filed | 15-Mar-2000 | 15-Mar-2000 | 15-Mar-2000 |
| | Suppl. into Dist. State | 15-Mar-2000 | 15-Mar-2000 | 15-Mar-2000 |
| 08-Sep-2003 | Status Inquiry | 08-Sep-2003 | 08-Sep-2003 | 08-Sep-2003 |
| | Fee IDS per 11/13/03 report let | 05-Dec-2003 | 05-Dec-2003 | 18-Nov-2003 |
| 09-Sep-2004 | Supplemental IDS Filed | 09-Sep-2004 | 09-Sep-2004 | 09-Sep-2004 |
| 15-Sep-2004 | Letter to PTO | 15-Sep-2004 | 15-Sep-2004 | 15-Sep-2004 |
| 08-Aug-2008 | Petition Filed | 08-Aug-2008 | 08-Aug-2008 | 08-Aug-2008 |
| 06-Aug-2008 | Request Continued Examination | 06-Aug-2008 | 06-Aug-2008 | 06-Aug-2008 |
| | Advisory Action Received | 29-Feb-2000 | 29-Feb-2000 | |
| | PTO lost file-awaiting OA | 15-Jul-2002 | 15-Jul-2002 | |
| 12-Sep-2008 | Decision on Petition - Dismisses | | 12-Sep-2008 | |

Updated as MESA YYYY Used Printed 15 Sep 2008

77762/23

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IPESdchNet
Kenyon & Kenyon

Patent Jacket Data Sheet

10-Sep-2008 Response Re Petition due

10-Nov-2008 10-Mar-2009

Updated: 09/10/2008 10:03:53 PM Printed: 10-Sep-2008

77762/33

Page 2 of 2

EXHIBIT 3 TO O'DOWD DECLARATION

15
Reg. Ex. Fee
*Reg. Fee 0.10
7-21-08
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MAR 17 2008
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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL FORM**
37 C.F.R. §1.53(d)

DOCKET NO. 77792/23

ANTICIPATED CLASSIFICATION OF THIS APPLICATION

CLASS

SUBCLASS

PRIOR APPLICATION 08/750,641

EXAMINER O. Hernandez

ART UNIT 3661

Address to:
Assistant Commissioner for Patents
Washington D.C. 20231
Box CPA

NAMED INVENTORS: K. SATO et al.

This is a request for filing a continuation application under 37 C.F.R. § 1.53(d) (continued prosecution application (CPA)) of pending prior application Serial No. 08/750,641, filed on December 16, 1996, entitled SCHEDULE SETTING AND PROCESSING SYSTEM.

1. The filing fee is calculated below:

| | NUMBER FILED | NUMBER EXTRA | RATE (\$) | FEE (\$) |
|--|--------------|--------------|--------------------|----------|
| BASIC FEE | | | | 690.00 |
| TOTAL CLAIMS | 10 | - 20 = | 0 | 0.00 |
| INDEPENDENT CLAIMS | 2 | - 3 = | 0 | 0.00 |
| MULTIPLE DEPENDENT CLAIM PRESENT | | | | 230.00 |
| Number extra pages (see fee schedule) | | | | |
| | | | TOTAL | 920.00 |
| If Applicant is a small entity under 37 C.F.R. § 1.15 and 1.27, One divide by 3 and enter amount here. | | | SMALL ENTITY TOTAL | N/A |

2. Please charge the required application filing fee of \$690.00 to the deposit account of Kenyon & Kenyon, deposit account number 11-0600.

3. The Commissioner is authorized to charge \$110.00 for one-month Extension of Time.

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01 FEE: 690.00 CN
02 FEE: 110.00 CN

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EXHIBIT 4 TO O'DOWD DECLARATION

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| DESTINATION | DST. TEL # |
|-------------|-------------|
| 97033053597 | 97033053597 |



KENYON & KENYON

1500 K Street, NW
Washington, DC 20005
(202)220-4200
Fax: (202)220-4201

FACSIMILE COVER SHEET

TO: EXAMINER OLGA HERNANDEZ

FAX NO: 703 305-3597

DATE: March 29, 2000

FROM: Sterlon Mason
Kenyon & KenyonSUBJECT: PRELIMINARY AMENDMENT TO CPA FOR U.S. APPLN. SERIAL NO.
08/750,641

CLIENT/MATTER NO.: 77792/23

NO. OF PAGES INCLUDING COVER:

Please call (202)220-4264 if any pages were not received or are illegible.

MESSAGE:

PATENT
Docket No. 77792/23

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors : Koji SATO et al.
Serial No. : 08/750,641
Filed : December 16, 1996
For : SCHEDULE SETTING AND PROCESSING
SYSTEM
Group Art Unit : 2316
Examiner : O. Hernandez

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

PRELIMINARY AMENDMENT

S I R:

By Office Action dated November 23, 1999, pending claims 1-6 were finally rejected and claims 7-10 were objected to.

Applicants filed a Response to Final Office Action Pursuant to 37 C.F.R. §1.116 on February 23, 2000, submitting remarks to traverse the rejections. However, by Advisory Action dated February 29, 2000, the rejections were maintained.

Applicants therefore filed a Request for a Continued Prosecution Application (CPA) pursuant to 37 C.F.R. §1.53(d) on March 15, 2000.

Please enter the following amendments prior to examination of the above-identified application.

IN THE CLAIMS:

Please amend claim 1 as follows. All of the claims have been presented for the Examiner's convenience.

1. (Three Times Amended) A schedule-set-up management system comprising:
inputting means for entering at least destination information and desired arrival time information;

Serial No. 08/750,641

Docket: 77792/23

frame-preparing means for preparing a basic frame of a schedule including types of intermediate and final locations, and a transfer order, indicating a chronological sequence of events in said basic frame based on said destination information and desired arrival time information; and

schedule-preparing means for accessing a data base to retrieve specific facilities fitting said basic frame, thereby preparing a schedule in which intermediate and final locations are specified.

2. (Unchanged) The schedule-set-up management system is accordance with Claim 1, wherein said frame-preparing means selects one basic frame of a schedule which fits said destination information and desired arrival time information from a plurality of basic frames pre-stored in a memory device.

3. (Unchanged) The schedule-set-up management system in accordance with Claim 1, wherein said inputting means and frame-preparing means are disposed in a terminal device, said schedule-preparing means disposed in a host device, said terminal device and host device are connected via a communication line, said terminal device transmits said basic frame prepared by said frame preparing means to said host device, said host device transmits said schedule prepared by said schedule-preparing means to said terminal device.

4. (Unchanged) The schedule-set-up management system in accordance with Claim 3, wherein said terminal device has a display means for displaying: a touch switch used for entering said destination information and desired arrival time information; said prepared basic frame; and said transmitted schedule.

5. (Unchanged) The schedule-set-up management system in accordance with Claim 1, further comprising:

route-searching means for searching an optimum route for carrying out said schedule using map data.

Serial No. 08/750,641

Docket: 77792/23

6. (Unchanged) The schedule-set-up management system in accordance with claim 3, wherein said terminal device disconnects the communication line connecting with said host device after transmitting said basic frame, and reconnects the communication line connecting with said host device after completing the preparation of said schedule in order to receive said schedule.

7. (Unchanged) The schedule-set-up management system in accordance with Claim 1, further comprising:

a watch center for watching the implementation of said schedule, wherein said schedule-preparing means re-prepares a schedule based on information from said watching center.

8. (Unchanged) The schedule-set-up management system in accordance with Claim 7, wherein said watching center has information-obtaining means for obtaining position information of a schedule implementer, information of the intermediate locations in said schedule and traffic information between the intermediate locations, the obtained information being supplied to said schedule-preparing mean.

9. (Unchanged) The schedule-set-up management system in accordance with Claim 8, wherein said information-obtaining means of said watching center further obtains weather information, and supplies the obtained weather information to said schedule-preparing means.

10. (Unchanged) The schedule-set-up management system in accordance with Claim 7, wherein said schedule-preparing means evaluates the influence of the information supplied from said watching center of the implementation of said schedule, and again prepares a schedule based on evaluation results.

REMARKS

The final Office Action mailed November 23, 1999 and the Advisory Action mailed February 29, 2000 have been carefully reviewed and the foregoing amendments and

Serial No. 08/750,641
Docket 77792/23

following remarks are made thereto. Claims 1-10 are presently pending in this application for consideration.

As a preliminary matter, Applicants thank Examiner Hernandez for her courtesies extended to Applicants' representative during the March 23, 2000 telephonic interview. The substance of the interview is embodied in the following remarks.

The final Office Action rejects claims 1-4 under 35 U.S.C. §103(a) as being unpatentable over U.S. Patent No. 5,237,499 to Garback in view of travel planning system (travelersnet.com) [hereinafter "Travelers"] and U.S. Patent No. 4,862,357 to Ahlstrom et al. [hereinafter "Ahlstrom"] and claim 5 under the same as being unpatentable over the combination of Garback and Travelers in further view of U.S. Patent No. 5,757,289 to Nimura et al. [hereinafter "Nimura"]. In addition, the Advisory Action indicates that Applicants' arguments were not directed to the claimed subject matter.

Applicants respectfully submit that the amended claims are patentably distinguishable over the cited references as required by §103. Applicants further submit that the cited references fail to disclose "a frame-preparing means for preparing a basic frame of a schedule including types of intermediate and final locations, and a transfer order indicated a chronological sequence of events in said basic frame, based on said destination information and desired arrival time information" as recited in independent claim 1. Thus, these claims are allowable over the cited references.

A "transfer order" refers to a chronological sequence of events in a basic frame. One example of such a sequence is described in the specification, on page 11, lines 8-15, in which the transfer order of events is as follows: departure → walk → lunch → amusement park → night view → return back. Another example is also shown on page 12. The basic frame of the present invention consists of a type of location and the transfer order, and a more specific schedule is prepared based on this basic frame. The idea of the present invention in which the basic frame comprising the type and order is initially determined is not disclosed in the cited references.

Conclusion

Examination of this application in light of the foregoing amendment is respectfully requested. The Examiner is invited to contact the undersigned at (202) 220-4240 to discuss

Serial No. 08/750,641
Docket: 77792/23

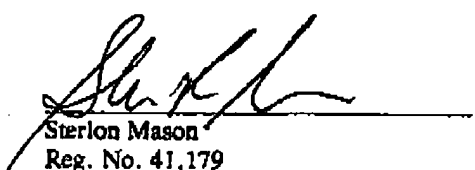
any matter concerning this application.

The Office is hereby authorized to charge any additional fees or credit any overpayments under 37 C.F.R. §1.16 or 1.17 to Deposit Account No. 11-0600.

Respectfully submitted,

KENYON & KENYON

Dated: March 29, 2000


Sterling Mason
Reg. No. 41,179

KENYON & KENYON
1500 K Street, N.W.
Washington, DC 20005
tel : 202/220-4200
fax: 202/220-4201

EXHIBIT 5 TO O'DOWD DECLARATION

| | | |
|-------------------------------|---|---|
| Notice of Allowability | Application No. 08/780,641 Examiner Olya Hernandez | Applicant(s) SATO ET AL. Art Unit 2881 |
|-------------------------------|---|---|

- The MAILING DATE of this communication appears on the cover sheet with the correspondence address.
 All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included
 herewith (or previously mailed), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course.

1. ☒ This communication is responsive to amendment filed on 3/28/08.
2. ☒ The allowed claim(s) is/are 1-12.
3. ☐ The drawings filed on _____ are acceptable.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(e)-(d).
 - a) ☐ All b) ☐ Some c) ☐ None of the CERTIFIED copies of the priority documents have been
 1. ☐ received.
 2. ☐ received in Application No. (Series Code / Serial Number) _____.
 3. ☐ received in this national stage application from the International Bureau (PCT Rule 17.2(e)).

* Certified copies not received: _____

5. ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e).

A SHORTENED STATUTORY PERIOD FOR REPLY to comply with the requirements noted below is set to EXPIRE
 THREE MONTHS FROM THE "DATE MAILED" of this Office Action. Failure to timely comply will result in
 ABANDONMENT of this application. Extensions of time may be available under the provisions of 37 CFR 1.136(a).

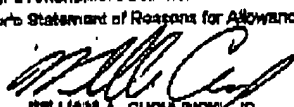
6. ☐ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION (PTO-152) which gives reason(s) why
 the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.
7. ☒ Applicant MUST submit NEW FORMAL DRAWINGS
 - (a) ☐ because the originally filed drawings were declared by applicant to be informal.
 - (b) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☒ to Paper No. 2.
 - (c) ☐ including changes required by the proposed drawing correction filed _____ which has been approved by the examiner.
 - (d) ☐ including changes required by the attached Examiner's Amendment / Comment.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the reverse side of the
 drawings. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official
 Draftsperson.

8. ☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Any reply to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE / SERIAL NUMBER). If
 applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF
 ALLOWANCE should also be included.

| | |
|--|--|
| Attachment(s) 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) 3) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) 6) <input checked="" type="checkbox"/> Information Disclosure Statements (PTO-1449), Paper No. <u>14</u> 7) <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit of Biological Material | 2) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) 4) <input type="checkbox"/> Interview Summary (PTO-413), Paper No. _____ 5) <input type="checkbox"/> Examiner's Amendment/Comment 8) <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance 9) <input type="checkbox"/> Other |
|--|--|


WILLIAM A. CUCHLINSKI, JR.
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER-8000

U.S. Patent and Trademark Office
 PTO-37 (Rev. 3-05)

Notice of Allowability

Part of Paper No. 10.

EXHIBIT 6 TO O'DOWD DECLARATION

1560 PART II - BASIC FEE TRANSMITTAL

From, Mr. **FRANK DIETHANTONIO**
 1025 CONNECTICUT AVENUE, NW
 WASHINGTON DC 20006

PH82/0417

APPROPRIATION NO. **08/750,641** FILING DATE **12/16/96** TOTAL CLAIMS **C10** EXAMINER AND GROUP ART UNIT **NEIRANDEZ, O** 3661 DATE MAILED **04/17/00**

INVENTOR **SATO, YASUO** 35-UBC 15A15) term ext. A

INVENTOR'S SCHEDULE SETTING AND PROCESSING SYSTEM

MATCH & RETURN

| ATTY'S COUNTY NO. | CLASS-ELIGCLASS | SEARCHING | APPL. TYPE | SMALL ENTITY | FEES DUE | DATE DUE |
|-------------------|-----------------|-------------|------------|--------------|----------|-------------------|
| 2 | 77792/23 | 701-202,000 | 221 | BILLING | NO | 5,720.00 07/17/00 |

1. Change of correspondence address or location of "Post Address" (if CIP 1.000).
 Use of PTO (prior) and Guidance Manual are recommended, but not required.

☐ Change of correspondence address for Change of Correspondence Address form (PTO 500) annex.

☐ "Post Address" location for "Post Address" location form (PTO 500) annex.

2. Assignee NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (UNIT) or COPY.
 PLEASE NOTE: Use of an assignee is identified below, no assignee data (no assignee or the patent).
 The name of the assignee data is only acceptable when an assignee has been previously identified in the PTO or in the relevant prior art. Completion of this form is not a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY & STATE OR COUNTRY)

Please check the appropriate assignee category (whether or not (if not, be printed on the card))

☐ individual ☐ corporation or other private group entity ☐ government

3. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

☐ Issue Fee

☐ Assignment Order - 4 of Copies

4. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

☐ Issue Fee

☐ Assignment Order - 4 of Copies

NOTE: The Issue Fee will not be accepted from anyone other than the applicant's registered attorney or agent or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

Send this Statement to the Office by mail or by hand. Time will vary depending on the needs of the individual case. Any statement in the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20501. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO THE Issue Fee, Assignment Order, and Trademark Office, Washington D.C. 20501.

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EXHIBIT 7 TO O'DOWD DECLARATION



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|--------------------|-------------|---------------|----------------------|
| APPLICATION NUMBER | FILING DATE | PATENT NUMBER | ATTORNEY ACCOUNT NO. |
| 08/750,441 | 12/16/96 | 6470 | K 77792/23 |

FRANK PIETRANTONIO
KENYON & KENYON
1025 CONNECTICUT AVENUE NW
WASHINGTON DC 20036

7542/0913

EXAMINER

HERNANDEZ, C

MY UNIT

3661

18

DATE MAILED 09/13/00

NOTICE OF ABANDONMENT

This application is abandoned in view of:

- ☐ Applicant's failure to timely file a proper response to the Office action mailed on _____.
- ☐ A response (with a Certificate of Mailing or Transmission of _____) was received on _____ which is after the expiration of the period for response (including a total extension of time of _____ month(s)) which expired on _____.
- ☐ A proposed response was received on _____ but it does not constitute a proper response to the final rejection.
- (A proper response to a final rejection consists only of a timely filed amendment which places the application in condition for allowance; a Notice of Appeal; or the filing of a continuing application under 37 CFR 1.53 (PWC).)
- ☐ No response has been received.
- ☒ Applicant's failure to timely pay the required issue fee within the statutory period of three months from the mailing date of the Notice of Allowance.
- ☐ The issue fee (with a Certificate of Mailing or Transmission of _____) was received on _____.
- ☐ The submitted issue fee of \$_____ is insufficient. The issue fee required by 37 CFR 1.32 is \$_____.
- ☒ The issue fee has not been received.
- ☐ Applicant's failure to timely file new formal drawings as required in the Notice of Allowance.
- ☐ Proposed new formal drawings (with a Certificate of Mailing or Transmission of _____) were received on _____.
- ☐ The proposed new formal drawings filed _____ are not acceptable.
- ☐ No proposed new formal drawings have been received.
- ☐ The express abandonment under 37 CFR 1.52(g) in favor of the PWC application (filed on _____).
- ☐ The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
- ☐ The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity) under 37 CFR 1.34(a) upon the filing of a continuing application.
- ☐ The decision by the Board of Patent Appeals and Interferences rendered on _____ and because the period for seeking court review of the decision has expired and there are no allowed claims.
- ☐ The reason(s) below:

ABANDONMENT
CONTACT PERSON IS:
TOM HAWKINS
305-6380

FORM PTO-101 (Rev. 10-98)

EXHIBIT 8 TO O'DOWD DECLARATION

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Address for:
Assistant Commissioner for Patents
Washington, D.C. 20231

| | |
|------------------------|------------|
| Application Number | 08/750,641 |
| Filing Date | 12/16/96 |
| First Named Inventor | SATO |
| Group Art Unit | 2316 |
| Examiner Name | |
| Attorney Docket Number | 77792/23 |

Boyle / Yoshida

Please change the Correspondence Address for the above-identified application to:

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I am the:

☐ Applicant.

☐ Assignee of record of the entire interest.
Certificate under 37 CFR 3.73(b) is enclosed.

☒ Attorney or agent of record.

Typed or Printed Name

Signature

Date

John C. Alt Miller

John C. Alt Miller

10/2/00

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EXHIBIT 10 TO O'DOWD DECLARATION**PATENT**
Docket No. 77792/23**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : Koji SATO, et al.
SERIAL NO. : 08/750,641
FILED : December 16, 1996
FOR : SCHEDULE SETTING AND PROCESSING SYSTEM
GROUP ART UNIT : 2316
EXAMINER : O. Hernandez

Assistant Commissioner for Patents
Washington D.C. 20231

CHANGE OF CORRESPONDENCE ADDRESS**S I R:**

Notice is hereby given that the correspondence address in the above-identified application has changed. Please address all future communications regarding this application to:

John C. Altmiller
KENYON & KENYON
1500 K Street, N.W.
Washington, D.C. 20006

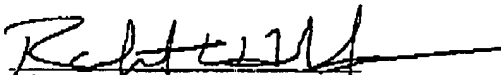
This address change is effective immediately. Thank you.

Respectfully submitted,

KENYON & KENYON

Dated: October 30, 2000

KENYON & KENYON
1500 K Street, N.W., Suite 700
Washington, DC 20005
(202) 220-4200
(202) 220-4201 (fax)


John C. Altmiller (Reg. No. 25,951)
Robert L. Hails, Jr. (Reg. No. 39,702)

DC01 322791 v 1

EXHIBIT 11 TO O'DOWD DECLARATION

FROM: KENYON & KENYON DC

DATE: 10/30/2000 16:11 FAX NO. 4861508829 P 1

KENYON & KENYON1500 K STREET, NW
WASHINGTON, DC 20005
TEL: 202-420-4200
FAX: 202-220-4297

3661 #19

FACSIMILE COVER SHEET

TO: Examiner Olga Hernandez
FAX NO: 703 305-3597
DATE: October 30, 2000
FROM: Robert L. Halls, Jr. (202 220-4235)
SUBJECT: Patent Application S.N. 08/750,641
CLIENT/MATTER NO.: 77792/23
NO. OF PAGES INCLUDING COVER: 2

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OCT 30 2000
GROUP 3800**Official**

Please call (202)220-4297 if any pages were not received or are illegible.

MESSAGE:

Please enter the enclosed communication in application S.N. 08/750,641.

Regards,

Bob Halls

The information contained in this facsimile is attorney privileged and confidential information intended only for the use of the recipient named above. If you are not the intended recipient, or the person responsible to deliver it to the intended recipient, you are notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this facsimile in error, please immediately notify us by telephone, and return the original and all copies to us at the above address.

FROM KENYON & KENYON-DC

DCON 10.30'00 16:12:11.16:11/MO. 4861508829 P. 2

PATENT
Docket No. 1179123**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT'S : Keji SATO, et al.
SERIAL NO. : 08/750,641
FILED : December 16, 1996
FOR : SCHEDULE SETTING AND PROCESSING SYSTEM
GROUP ART UNIT : 2316
EXAMINER : O. Hernandez

Assistant Commissioner for Patents
Washington D.C. 20231

Official**FAX RECEIVED**

DEC 30 2000

GROUP 3600**CHANGE OF CORRESPONDENCE ADDRESS**

SIR:

Notice is hereby given that the correspondence address in the above-identified application has changed. Please address all future communications regarding this application to:

John C. Altmiller
KENYON & KENYON
1500 K Street, N.W.
Washington, D.C. 20005

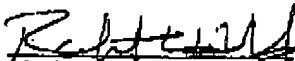
This address change is effective immediately. Thank you.

Respectfully submitted,

KENYON & KENYON

Dated: October 30, 2000

KENYON & KENYON
1500 K Street, N.W., Suite 700
Washington, DC 20005
(202) 220-4200
(202) 220-4201 (fax)


John C. Altmiller (Reg. No. 25,991)
Robert L. Hais, Jr. (Reg. No. 39,702)

DC01 222701 v.1

EXHIBIT 12 TO O'DOWD DECLARATION

PATENT
Docket No.: 77792/23

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT :
SERIAL NO. : 08/750641
FILING DATE : December 16, 1996
FOR : SCHEDULE SETTING AND PROCESSING SYSTEM

Commissioner of Patents and Trademarks
Washington D.C. 20231

ASSOCIATE POWER OF ATTORNEY

Sir:

Please recognize John C. Altmiller, (Reg. No. 25,851) and other registered practitioners of Kenyon & Kenyon included in the Customer Number provided below, with full power to prosecute said application, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

CUSTOMER NUMBER: 23838

Respectfully submitted,

Date November 6, 2000

Edward W. Gresson
Edward W. Gresson
Reg. No. 18,918

KENYON & KENYON
One Broadway
New York, NY 10004
(212) 425-7200 (telephone)

0001 333324 v 1

EXHIBIT 13 TO O'DOWD DECLARATION

CATHY RYAN
KENYON & KENYON

1500 K STREET, N.W., 5th FL.
WASHINGTON, D.C. 20005-1180
PHONE (202) 376-4000

EMERY CLARK (202) 775-4000
FAX (202) 376-4001
E-MAIL: clynn@kenyonkenyon.com

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EXHIBIT 14 TO O'DOWD DECLARATION

Lost 1/16/01

PATENT
Docket No. 77792/23IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors : Koji SATO et al.
Serial No. : 08/750,641
Filed : December 16, 1996
For : SCHEDULE SETTING AND PROCESSING SYSTEM
Examiner : O. Hernandez

Assistant Commissioner of Patents
Washington D.C. 20231

POWER TO INSPECT AND MAKE COPIES


SIR:

Denise English and Jay Johnson are hereby authorized to inspect and copy the file for the
above-captioned matter.

Respectfully submitted,

KENYON & KENYON

Date: 2/7/01 2001


John C. Altmaier, Registration No. 25,951
KENYON & KENYON
1500 K Street, N.W., Suite 700
Washington, D.C. 20005
Telephone: (202) 220-4200
Facsimile: (202) 220-4201

Last 1/16/01PATENT
Docket No. 77792/23**EMARK OFFICE**

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Date: 2

John C. Altmiller, Registration No. 25,951
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DEC 10 2008

EXHIBIT 15 TO O'DOWD DECLARATION**PATENT**
Docket No.: 77792/23**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT : Koji SATO, et al.
SERIAL NO. : 08/750,641
FILING DATE : December 16, 1996
FOR : SCHEDULE SETTING AND PROCESSING SYSTEM
GROUP ART UNIT : 2316
EXAMINER : O. HERNANDEZ

Assistant Commissioner for Patents
Washington, D.C. 20231

FILE RECONSTRUCTION

SIR:

We have been informed by the Office that the U.S. Patent and Trademark Office's file for the above-referenced case has been lost. Please find attached a copy of the relevant documents forming the file history for this application from the applicant's files for use in reconstructing the Office's file.

Please reconstruct the file. Thank you for your attention to this matter. Please contact the undersigned at 202-220-4232, for any questions.

Respectfully submitted,



Mark H. Neblett
Reg. No. 42,028

DC01 381128 v 1

The stamp of the Patent Office heron y be taken as acknowledging the receipt, on the date stamped, of

APPLICANTS: Koji SATO, et al.
SERIAL NO.: 08/750,641
FILED: December, 16, 1996
FOR: *SCHEDULE SETTING AND PROCESSING
SYSTEM*
GROUP ART UNIT: 2316

PAPERS FILED:

1. File Reconstruction

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EXHIBIT 16 TO O'DOWD DECLARATIONPATENT
DOCKET NO.: 779273**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Keji SATO et al.
SERIAL NO.: 08/750,641
FILED: December 16, 1996
FOR: SCHEDULE SETTING AND PROCESSING SYSTEM
ART UNIT: 2316 EXAMINER: O. Hernandez

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

STATUS INQUIRY

SIR:


Applicants for the above-cited U.S. application respectfully request that the Examiner inform Applicants of the status of the application. Applicants filed the application on December 16, 1996 and received an official Filing Receipt on March 14, 1997.

The U.S. Patent and Trademark Office notified Applicants that the subject file had been lost and, accordingly, Applicants filed a copy of the relevant documents forming the file history in a File Reconstruction on March 23, 2001.

The Commissioner is authorized to charge any fee relevant to this filing to Kenyon & Kenyon Deposit Account No. 11-0600. A duplicate of this sheet is attached.

Respectfully submitted,

Date: September 8, 2003


John C. Altavilla
(Reg. No. 25,951)

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1500 K Street, N.W., Suite 700
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Tel: (202) 429-1776
Fax: (202) 429-0796

EXHIBIT 17 TO O'DOWD DECLARATION

The stamp of the Patent Office herein may be taken as acknowledging the receipt, on the date stamped, of

INVENTOR(S): Keji SATO et al.
SERIAL NO.: 08/759,661
FILED: December 16, 1998
TITLE: SCHEDULE SETTING AND PROCESSING SYSTEM
GROUP ART: 2316
EXAMINER: O. Fernandez

PAPER FILED:

1. Status Inquiry

JCA/mq

September 8, 2001



77792/23

DEC 10 2008

EXHIBIT 18 TO O'DOWD DECLARATION

The stamp of the Patent Office hereon may be taken as acknowledging the receipt, on the date stamped, of:

INVENTOR(S): Koji SATO et al.
SERIAL NO.: 08/750,641
FILED: December 16, 1996
TITLE: SCHEDULE SETTING AND PROCESSING SYSTEM
GROUP ART: 2316
EXAMINER: O. Hernandez

PAPERS FILED:

1. Information Disclosure Statement (2 pp.)
2. 1449A/PTO - Info. Disclosure Statement by Applicant
3. Foreign Patent Document - CN 1098666A



WEC/rj

77792/23

November 18, 2003

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EXHIBIT 19 TO O'DOWD DECLARATIONPATENT
Attorney Docket No. 77792/233661
#20/IDS
2/13/14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

APPLICANT(s) : Koji SATO, et al.

SERIAL NO. : 08/750,641

FILED : December 1, 1985

FOR : Schedule Setting and Processing System

EXAMINER : O. Hernandez

ART UNIT : 2316

INFORMATION DISCLOSURE STATEMENT**RECEIVED**
NOV 24 2008**GROUP 3600**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). It is believed that no fees are due, but

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EXHIBIT 20 TO O'DOWD DECLARATION

The stamp of the Patent Office hereon may be taken as acknowledging the receipt, on the date stamped, of

INVENTORS: Koji SATO, et al.
SERIAL NO. : 08/750,641
FILED : 1 December 1995



Enclosed: INFORMATION DISCLOSURE STATEMENT, FORM
PTO-1449, SEVEN REFS., EP SEARCH REPORT

WEC/is

77792/23

09/09/04

EXHIBIT 21 TO O'DOWD DECLARATION**PATENT**

Docket No. 77782/23

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Koji SATO, et al.
SERIAL NO. : 08/760,641
FILED : 1 December 1995
FOR : SCHEDULE SETTING AND PROCESSING SYSTEM
GROUP ART UNIT : 2316
EXAMINER : O. Hernandez

COMMISSIONER FOR PATENTS
P. O. Box 1460
Alexandria, Virginia 22313-1460

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SEP 15 2004
GROUP 3600

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97 & § 1.98

SIR:

In conformance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(c)(1), the references listed on the attached form PTO-1449 are hereby brought to the Examiner's attention.

In compliance with 37 C.F.R. § 1.98, copies of the references are submitted herewith.

Statement of Relevancy

The references were cited in the European Search Report of a related European Patent Application. A copy of the Search Report is enclosed.

Statement Pursuant to 37 C.F.R. 1.97(a)(1)

The undersigned attorney hereby states that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

EXHIBIT 22 TO O'DOWD DECLARATION**PATENT**
Docket No. 77792/23**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors : Koji SATO, et al.
Serial No. : 08/750,641
Filed : 16 December 1996
For : SCHEDULE SETTING AND PROCESSING SYSTEM
Group Art : 2316
Examiner : O. Hernandez

COMMISSIONER FOR PATENTS
P. O. Box 1450
Alexandria, Virginia 22313-1450

REQUEST FOR STATUS**SIR:**

A request for continued prosecution with an Information Disclosure Statement was filed in this case on 15 March 2000. A preliminary amendment was faxed to the Examiner on 29 March 2000. A change of correspondence address was filed on 30 October 2000. Thereafter, a copy of the entire file was submitted for re-construction of the lost file on 23 March 2001. A status inquiry was filed on 8 September 2003. Information Disclosure Statements were filed on 18 November 2003 and 9 September 2004, respectively.

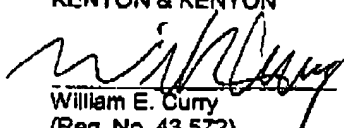
It is respectfully requested that the undersigned be informed when an Office Action or other communication from the Patent Office may be expected in this case.

Respectfully submitted,

KENYON & KENYON

Dated: 15 September 2004

1500 K Street, N.W., Suite 700
Washington, DC 20005
Tel: (202) 220-4200
Fax: (202) 220-4201
505235


William E. Curry
(Reg. No. 43,572)

The stamp of the Patent Office hereon may be taken as acknowledging the receipt,
on the date stamped, of

Inventor(s): Koji SATO, et al.
Serial No.: 08/750,641
Filed: 16 December 1996



Enclosed:

REQUEST FOR STATUS

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